



The spectrum of a quantum Lifshitz black hole in two dimensions

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Four-dimensional Lifshitz black hole

We consider the following four-dimensional action obtained from a consistent truncation of heterotic string theory [1, 2, 3]:

$$I = \int d^4x \sqrt{-g} e^{-2\phi} \left[R + 4(\nabla\phi)^2 - \frac{2}{3}(\nabla\psi)^2 - F^2 - e^{2(\phi-\frac{2}{3}\psi)} F^2 \right], \quad (1)$$

where ϕ is the dilaton, $\psi = \frac{3}{2}\phi + \text{const}$ is a compact scalar, and $F_{\mu\nu}$ is the Maxwell field strength. The structure of this theory is similar in spirit to the Einstein–Maxwell–Dilaton actions studied in the context of Lifshitz holography by Marika Taylor [4, 5], and can be mapped to that form via a Weyl rescaling to the Einstein frame. A magnetically charged black hole solution is given by:

$$ds^2 = -\left(1 - \frac{r_+}{r}\right) \left(1 - \frac{r_-}{r}\right)^{\frac{-1+\alpha}{1+\alpha}} dt^2 + \frac{dr^2}{\left(1 - \frac{r_+}{r}\right) \left(1 - \frac{r_-}{r}\right)} + r^2 d\Omega_2^2, \quad (2)$$
$$e^{-2\phi} = \left(1 - \frac{r_-}{r}\right)^{\frac{1}{1+\alpha}}, \quad F_{\mu\nu} = \frac{Q_m}{r^2} \epsilon_{\mu\nu}, \quad \alpha = \frac{3}{2q^2}.$$

The black hole mass and magnetic charge are related to r_{\pm} by

$$M = \frac{1}{2}r_+ + \frac{1}{2}\frac{\alpha}{1+\alpha}r_-, \quad Q_m^2 = \frac{1}{2}\frac{r_+r_-}{1+\alpha}. \quad (3)$$

The Hawking temperature is given by

$$T = \frac{1}{4\pi r_+} \left(1 - \frac{r_-}{r_+}\right)^{\frac{\alpha}{1+\alpha}}, \quad (4)$$

so extremality occurs when $r_+ = r_- = r_0$.

Near-extremal decoupling limit

We work in the canonical ensemble by fixing the magnetic charge to its extremal value, $Q_0 = \frac{r_0}{\sqrt{2(1+\alpha)}}$. The horizons then seperate at lowest order in temperature as

$$r_{\pm}(T) = r_0 \pm \frac{r_0}{2}(4\pi r_0 T)^{1+\frac{1}{\alpha}} + \dots, \quad (5)$$

and the mass near extremality becomes

$$M(T) = M_0 + \frac{T^{1+\frac{1}{\alpha}}}{M_{\text{gap}}}, \quad (6)$$

where the extremal mass and the mass gap are respectively

$$M_0 = \frac{1+2\alpha r_0}{1+\alpha} \frac{1}{2}, \quad \frac{1}{M_{\text{gap}}} = \frac{1}{1+\alpha} \frac{r_0}{4} (4\pi r_0)^{1+\frac{1}{\alpha}}. \quad (7)$$

To isolate the infrared geometry, we take a near-extremal decoupling limit using the rescaled coordinates

$$t = \frac{\tilde{t}}{2\pi T}, \quad r = r_+ + r_0 \left[\left(\frac{\tilde{r}}{\tilde{r}_h} \right)^{1+\frac{1}{\alpha}} - 1 \right] (4\pi r_0 T)^{1+\frac{1}{\alpha}}, \quad (8)$$

where the horizon location in the new radial coordinate is given by

$$\tilde{r}_h = 2r_0^2 \left(1 + \frac{1}{\alpha}\right). \quad (9)$$

The metric then takes the form

$$ds^2 = -F(\tilde{r}) d\tilde{t}^2 + \frac{d\tilde{r}^2}{F(\tilde{r})} + r_0^2 d\Omega_2^2 + \mathcal{O}(T^{1+\frac{1}{\alpha}}), \quad (10)$$

with

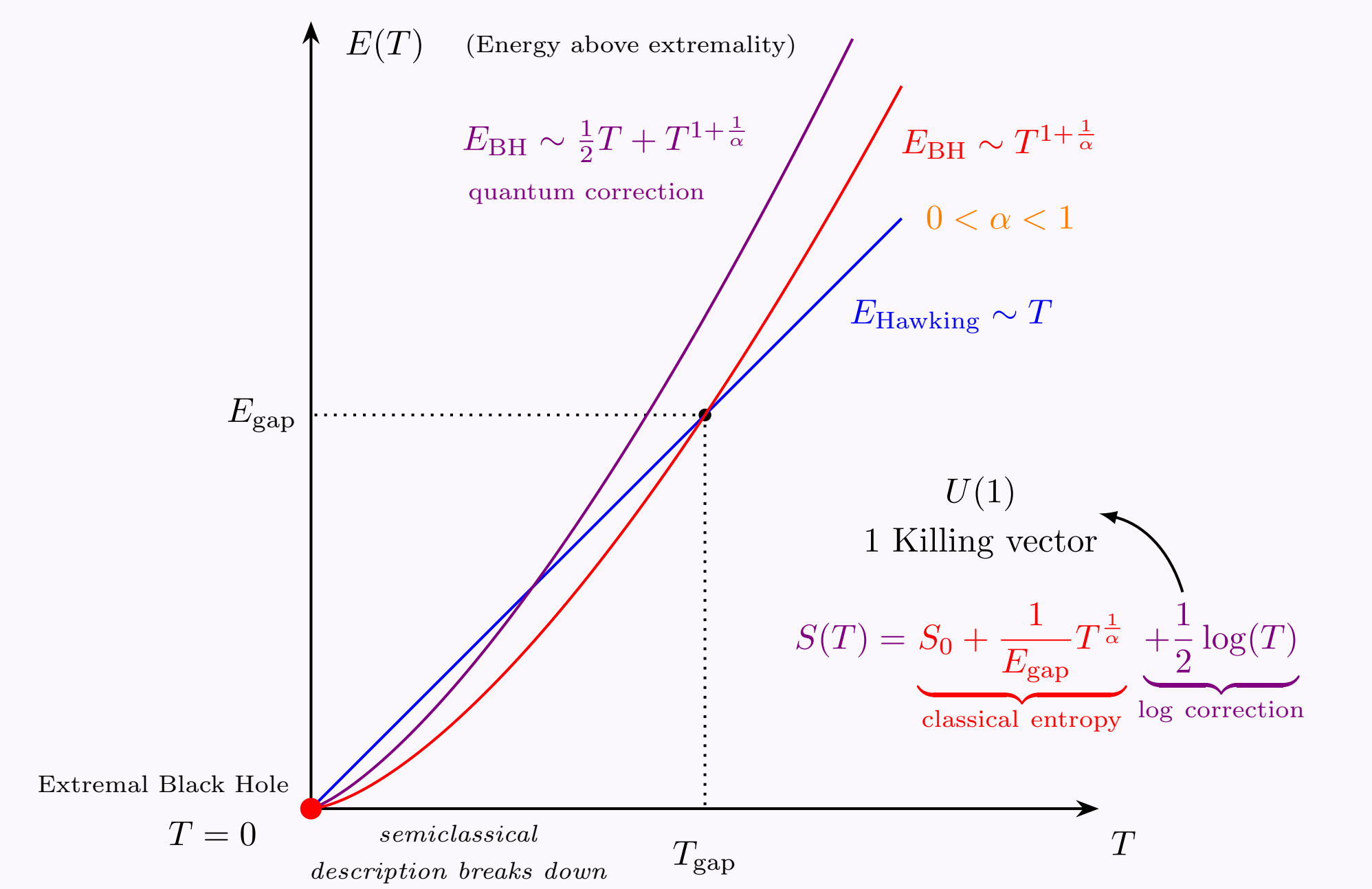
$$F(\tilde{r}) = \frac{2\alpha\tilde{r}_h}{1+\alpha} \left[\left(\frac{\tilde{r}}{\tilde{r}_h} \right)^2 - \left(\frac{\tilde{r}}{\tilde{r}_h} \right)^{1-\frac{1}{\alpha}} \right]. \quad (11)$$

Mass gap and quantum corrections

At low temperatures, the energy above extremality scales as

$$E_{\text{BH}} \sim T^{1+\frac{1}{\alpha}}, \quad E_{\text{Hawking}} \sim T. \quad (12)$$

This leads to a **mass gap puzzle** [6]. At low temperatures, the black hole lacks the energy to radiate even a single Hawking quantum. This signals a breakdown of the semiclassical picture. Quantum corrections can resolve the discrepancy. A \sqrt{T} prefactor in the one loop partition function adds a linear term to the energy and lowers the gap. This corresponds to a $\frac{1}{2} \log T$ entropy correction rather than the $\frac{3}{2} \log T$ seen in JT gravity, reflecting the reduced symmetry: Only one global Killing vector survives, in contrast to the three of the $\text{SL}(2, \mathbb{R})$ invariant JT model.



Two dimensional Lifshitz dilaton model

Starting from the four-dimensional action (1), a near-horizon dimensional reduction leads to an effective two dimensional dilaton gravity model [1, 7]

$$I_{\text{Lif}}(\alpha) = -\frac{1}{2} \int_{\mathcal{M}} d^2x \sqrt{g} e^{-2\phi} \left(R + 4(1-\alpha)(\nabla\phi)^2 + 4\lambda^2 \right). \quad (13)$$

This model interpolates between well-known cases:

- ▶ $\alpha = 1$: Jackiw-Teitelboim (JT) [8, 9].
- ▶ $\alpha = 0$: Callan-Giddings-Harvey-Strominger (CGHS) [10].

The equations of motion for this dilaton theory are

$$\nabla_{\mu} \nabla_{\nu} \phi = 2\alpha \nabla_{\mu} \phi \nabla_{\nu} \phi + (\nabla^2 \phi - (1+\alpha)(\nabla\phi)^2 + \lambda^2) g_{\mu\nu}, \quad (14a)$$

$$R + 4(1-\alpha)\nabla^2 \phi - 4(1-\alpha)(\nabla\phi)^2 + 4\lambda^2 = 0. \quad (14b)$$

The saddle point solution for the metric and the dilaton in Schwarzschild gauge, $ds^2 = f(r)dt^2 + \frac{dr^2}{f(r)}$, is given by

$$f(r) = (ar)^2 - b(ar)^{1-\frac{1}{\alpha}}, \quad e^{-2\phi} = (ar)^{\frac{1}{\alpha}}, \quad (15)$$

where b is a free parameter and $a = \frac{2\alpha\lambda}{\sqrt{1+\alpha}}$ is a convenient redefinition of λ . This geometry is asymptotically AdS_2 . The model admits only a single global Killing vector, ∂_t .

Thermodynamics

The thermodynamical properties of the saddle point solution have previously been analysed in [2, 11, 12]. Notably the Hawking temperature is given by

$$T = \frac{f'(r_h)}{4\pi} = \frac{1}{4\pi} (1 + \frac{1}{\alpha}) ab^{\frac{\alpha}{1+\alpha}}, \quad (16)$$

where the horizon radius is $ar_h = b^{\frac{\alpha}{1+\alpha}}$. The Bekenstein-Hawking entropy is given by

$$S_{\text{BH}}(T) = (1+\alpha)C T^{\frac{1}{\alpha}}, \quad C = \frac{a}{2\alpha} \left(\frac{4\pi}{a(1+\frac{1}{\alpha})} \right)^{1+\frac{1}{\alpha}}. \quad (17)$$

This scaling relation between temperature and entropy is a hallmark feature of models with Lifshitz-scaling. Additionally there is a nice two dimensional incarnation of the Smarr formula

$$TS = (1+\alpha)E, \quad E = \frac{ab}{2\alpha}. \quad (18)$$

where E denotes the energy or ADM mass.

Boundary terms and wiggling boundary action

We add the Gibbons-Hawking-York (I_{GHY}) term to make the variational problem well defined and a counter term (I_{counter}) which renders the Euclidean on-shell action finite

$$I_{\text{GHY}} + I_{\text{counter}} = - \int_{\partial\mathcal{M}} dt \sqrt{h} e^{-2\phi} \left(K - \frac{a}{\alpha} \right). \quad (19)$$

Here K is the extrinsic scalar curvature, h is the determinant of the induced metric on the boundary and n^{μ} is the unit normal vector on the boundary. By making use of the equations of motion the bulk piece can be rewritten as a boundary term and hence the entire action can be written in the following form

$$I_{\text{Lif}} = - \int_{\partial\mathcal{M}} dt \sqrt{h} e^{-2\phi} \left(-2(1-\alpha)n^{\mu} \nabla_{\mu} \phi + K - \frac{a}{\alpha} \right). \quad (20)$$

wiggling boundary

Wiggling boundary ansatz:

We parametrize the boundary curve by $(t(u), r(u))$ and impose a fixed length of the boundary with the wiggling boundary constraint

$$h_{uu} = \frac{1}{\epsilon^2}, \quad (21)$$

this is solved by

$$r(u) = \frac{1}{a\epsilon t'(u)} + \mathcal{O}(\epsilon^3). \quad (22)$$

To obtain a finite boundary action, we rescale

$$E \rightarrow \epsilon^{-1}E, \quad t(u) \rightarrow \epsilon^{\alpha-1}t(u). \quad (23)$$

We now compute the geometric quantities:

$$K = a + \frac{1}{a} \left(\text{Sch}(t, u) + aE t'(u)^{1+\frac{1}{\alpha}} \right) \epsilon^2 + \mathcal{O}(\epsilon^4),$$
$$\sqrt{h} = \frac{1}{\epsilon} + \mathcal{O}(\epsilon),$$
$$e^{-2\phi} = \frac{1}{r(u)^{1/\alpha}} \frac{1}{\epsilon} + \mathcal{O}(\epsilon^3),$$
$$n^{\mu} \nabla_{\mu} \phi = -\frac{a}{2\alpha} + \frac{1}{2} \left(E t'(u)^{1+\frac{1}{\alpha}} + \frac{1}{2a\alpha} \left(\frac{t''(u)}{t'(u)} \right)^2 \right) \epsilon^2 + \mathcal{O}(\epsilon^4). \quad (24)$$

Inserting these into the action and taking the limit $\epsilon \rightarrow 0$ yields the **deformed Schwarzian** action:

$$I_{\text{dSch}} = -\frac{1}{a} \int \frac{du}{t'(u)^{\frac{1}{\alpha}}} \left(\text{Sch}(t, u) - \frac{1-\alpha}{2\alpha} \left(\frac{t''(u)}{t'(u)} \right)^2 + \alpha a E t'(u)^{1+\frac{1}{\alpha}} \right). \quad (25)$$

Partition functions and logarithmic correction

We approximate the Lifshitz partition function around the deformed Schwarzian saddle as follows

$$Z_{\text{Lif}} = \int [\mathcal{D}g_{ab}] [\mathcal{D}\phi] e^{-I_{\text{Lif}}[g_{ab}, \phi]} \approx \int [\mathcal{D}t]_{\text{Lif}} e^{-I_{\text{dSch}}[t]}. \quad (26)$$

Diff(S^1)/U(1)

Expanding around the saddle $t(u) = u + \epsilon(u)$, and decomposing in a Fourier series $\epsilon(u) = \sum_{n \neq 0} \left(\epsilon_n^{(R)} + i\epsilon_n^{(I)} \right) e^{-\frac{2\pi i n u}{\beta}}$ the quadratic action is given to second order in fluctuations as

$$I_{\text{dSch}} = -\alpha C \beta^{-1/\alpha} - \frac{2\pi^2}{\beta \alpha a} \sum_{n \neq 0} n^4 \left(\epsilon_n^{(R)} \epsilon_n^{(R)} + \epsilon_n^{(I)} \epsilon_n^{(I)} \right), \quad (27)$$

along with the measure

$$[\mathcal{D}t]_{\text{Lif}} = \prod_{n \geq 1} 4\pi n d\epsilon_n^{(R)} d\epsilon_n^{(I)}. \quad (28)$$

Note for comparison the measure for JT is [13, 14, 15]

$$[\mathcal{D}t]_{\text{JT}} = \prod_{n \geq 2} 4\pi n (n^2 - 1) d\epsilon_n^{(R)} d\epsilon_n^{(I)}. \quad (29)$$

where $n = \pm 1$ correspond to symmetries generated by the two additional Killing vectors of AdS_2 . The one-loop integral is then

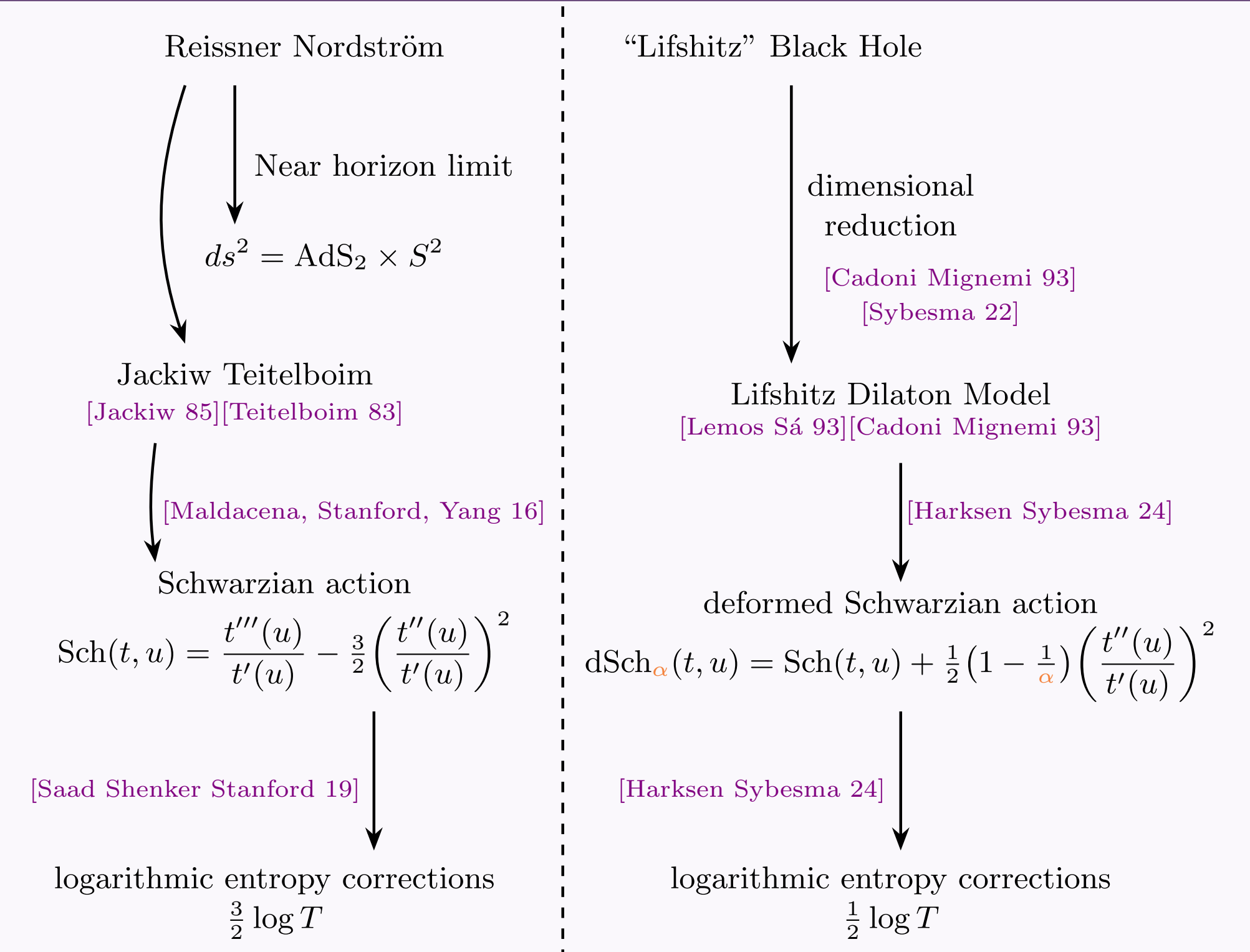
$$Z_Q(\beta) = e^{\alpha C \beta^{-1/\alpha}} \prod_{n \geq 1} 4\pi n \int_0^{\beta} d\epsilon_n^{(R)} d\epsilon_n^{(I)} e^{-\frac{2\pi^2 n^4}{\beta \alpha a} \left(\left(\epsilon_n^{(R)} \right)^2 + \left(\epsilon_n^{(I)} \right)^2 \right)}$$
$$= e^{\alpha C \beta^{-1/\alpha}} \prod_{n \geq 1} \frac{2\alpha \beta a}{n^3} = \frac{1}{4\pi^{3/2} \sqrt{a\alpha\beta}} e^{\alpha C \beta^{-1/\alpha}}. \quad (30)$$

where in the last step we used **zeta function regularization**. Thus the logarithmic correction to the entropy is given by

$$S(T) = S_0 + (1+\alpha)C T^{1/\alpha} + \frac{1}{2} \log T, \quad (31)$$

where the $\frac{1}{2} \log T$ is the one-loop quantum correction.

TL;DR



We study a one parameter family of two dimensional dilaton models whose entropy scales as $S \propto T^{1/\alpha}$. The boundary dynamics are governed by a deformed Schwarzian action with reduced $U(1)$ symmetry, leading to a $\frac{1}{2} \log T$ correction to the entropy in contrast to the $\frac{3}{2} \log T$ correction of the $\text{SL}(2, \mathbb{R})$ invariant JT model.



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